

FORM PTO-1449 (Rev. 2-32)	U.S. Department of Commerce Patent and Trademark Office	Atty. Docket No. 00-1123-H	Serial No. 10/058,626
<b>THIRD SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (Use several sheets if necessary)		<b>Applicant:</b> Cunningham, et al.	
		<b>Filing Date:</b> January 28, 2002	<b>Group:</b> 1645-1641

**U.S. PATENT DOCUMENTS**

Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate

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	1.	Statement of Applicants dated May 4, 2004
	2.	Bertoni, et al., "Frequency-Selective Reflection and Transmission by a Periodic Dielectric Layer", <i>IEEE Transactions on Antennas and Propagation</i> , Vol. 37, No. 1, pp. 78-83 (1989)
	3.	Brundrett, et al., "Normal-incidence guided-mode resonant grating filters: design and experimental demonstration", <i>Optics Letters</i> , Vol. 23, No. 9, pp. 700-702 (1998)
	4.	Peng, "Polarization-control Components and Narrow-band Filters Based on Subwavelength Grating Structures" 1996
EXAMINER		DATE CONSIDERED
		9/27/04

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

FORM PTO-1449 (Rev. 2-32)	U.S. Department of Commerce Patent and Trademark Office		Atty. Docket No. 00-1123-H	Serial No. 10/058,626
<b>SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (Use several sheets if necessary)				
<b>Applicant:</b> Cunningham, et al.				
<b>Filing Date:</b> January 28, 2002		<b>Group:</b> 1645 1641		

**U.S. PATENT DOCUMENTS**

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u	1.	5,801,390	9/1/98	Shiraishi	250	559.3	2/7/97
	2.	5,475,780	12/12/95	Mizrahi	385	37	6/17/93
	3.	4,958,895	9/258/90	Wells, et al.	350	96.12	6/23/89
	4.	6,052,213	4/18/00	Burt, et al.	359	237	3/24/97
	5.	5,210,404	5/11/93	Cush, et al.	250	216	5/19/92
	6.	4,857,273	8/15/89	Stewart	422	68	4/4/86
	7.	5,242,828	9/7/93	Bergström, et al.	435	291	11/9/89
	8.	5,864,641	1/26/99	Murphy, et al.	385	12	4/11/97
	9.	5,337,183	8/9/94	Rosenblatt	359	248	10/20/92
	10.	6,404,554 B1	6/11/02	Lee, et al.	359	576	5/18/00
	11.	6,377,721 B1	4/23/02	Walt, et al.	385	12	3/2/99
	12.	6,587,276 B2	7/1/03	Daniell	359	622	3/17/01
	13.	6,316,153 B1	11/13/01	Goodman, et al.	430	8	4/21/99
	14.	5,814,524	9/29/98	Walt, et al.	436	514	12/14/95
	15.	5,170,448	12/8/92	Ackley, et al.	385	31	1/6/92
u	16.	5,606,170	2/25/97	Saaski, et al.	250	458.1	2/3/95
u	17.	5,156,785	10/20/92	Zdrahala	264	108	7/10/91



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		Lenau, Torben; <i>Material, Silicon Nitride</i> , 1996, 97, 98
	19.	Cerac, Technical publications: <i>Tantalum Oxide, Ta<sub>2</sub>O<sub>5</sub> for Optical Coating</i> , 2000, Cerac, Inc.
EXAMINER	C. Chin	DATE CONSIDERED 9/27/04

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FORM PTO-1449 (R v. 2-32)	U.S. Department of Commerce Patent and Trademark Office	Atty. Docket No. 01-1123-H	Serial No. 10/058526 OCT 21 2003 RECEIVED U.S. TRADEMARK OFFICE ENTER 1600/2900
FOURTH SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)		Applicant: Cunningham et al.	
		Filing Date: January 28, 2002	Group: 1645-1647

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Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
u	1. 5,492,840	02/20/96	Malmqvist et al.	—	—	—

## U.S. PATENT APPLICATION DOCUMENTS

Examiner Initial	Document Number	Publication Date if Appropriate	Name	Class	Subclass	Filing Date
u	2. 20030027328-A1	6 Feb 2003	Cunningham et al.	—	—	—
u	3. 20020127565-A1	12 Sept 2002	Cunningham et al.	—	—	—
u	4. 20030027327-A1	6 Feb 2003	Cunningham et al.	—	—	—

## FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation Yes No
u	5. WO 02/061429	8 Aug 2002	PCT	—	—	—

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u	6.	Cunningham et al., Sensors and Actuators B 85; pp 219-226 (2002).
↓	7.	Cunningham et al., Sensors and Actuators B 81; pp 316-328 (2002).
↓	8.	Pandey, A. and Mann, M., Nature. 405(6788):837-46 (2000).
↓	9.	Patterson, S.D., Current Opinions in Biotechnology, 11(4):413-8 (2000).
u	10.	International Search Report for foreign counterpart application PCT/US03/01175.

EXAMINER C. Chan	DATE CONSIDERED 9/27/04
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FORM PTO-1449 (Rev. 2-32)	U.S. Department of Commerce Patent and Trademark Office		Atty. Docket No. 01-1123-H	Serial No. 10/058,626
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (Use several sheets if necessary)		JUL 21 2003 <b>RECEIVED</b> <b>TECH CENTER 1600</b> <b>1600-2900</b>		
		Applicant: Cunningham, et al.	Filing Date: January 28, 2002	Group: 1645

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u	1. 4,876,208	10/24/89	Gustafson et al.	436	531	1/30/87
	2. 4,992,385	2/12/91	Godfrey	436	525	7/22/87
	3. 5,455,178	10/3/95	Fattinger	436	164	5/28/93
↓	4. 5,478,527	12/26/95	Gustafson et al.	422	82	11/21/94
u	5. 5,738,825	4/14/98	Rudigier et al.	422	82	5/12/97

## FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation Yes	Translation No

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER <i>C. Chan</i>	DATE CONSIDERED <i>9/21/04</i>	

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<b>SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (Use several sheets if necessary)		<b>RECEIVED</b> <b>OCT 11 2002</b>	
		Applicant: Cunningham, et al.	TECH CENTER 1600/2900
		Filing Date: January 28, 2002	Group: 1645

## U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date if Appropriated
u	1	6,185,019 B1	2/6/01	Hobbs, et al.	359	30	5/14/99
	2	6,088,505	7/11/00	Hobbs	385	147	6/10/97
	3	4,536,608	8/20/85	Sheng, et al.	136	259	4/25/83
↓	4	5,229,614	7/20/93	Anderson et al.	250	370.12	3/27/92
u	5	5,559,338	9/24/96	Elliott et al.	250	492.1	10/4/94

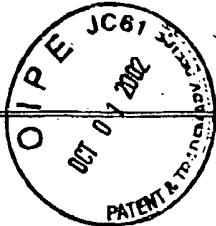
## FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc).

u	6	International Search Report for foreign counterpart PCT/US01/50723				
EXAMINER <i>C. Choi</i>			DATE CONSIDERED <i>9/27/04</i>			

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U.S. Department of Commerce  
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00-1123-H

Serial No.

10/058,626

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Applicant:

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u	1.	4,009,933	03/01/77	Firester	350	152	05/07/95
u	2.	5,598,300	01/28/97	Magnusson, et al.	359	566	06/05/95

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc).

u	3.	Invitation to Pay Additional Fees in foreign counterpart application PCT/US01/50723
EXAMINER	C. Chin	DATE CONSIDERED 9/27/02

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U.S. Department of Commerce  
Patent and Trademark Office

Atty. Docket No. APR 23, 2002

Serial No.

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10458,026

INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT  
(Use several sheets if necessary)

Applicant:

Cunningham, et al.

Filing Date: Group:

January 28, 2002 1645

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Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
u	1	4,931,384	6/5/90	Layton, et al.	435	7	10/17/84
	2	5,118,608	6/2/92	Layton, et al.	435	7.1	9/22/89
	3	5,478,756	12/26/95	Gizeli, et al.	436	527	2/8/95
	4	5,496,701	3/5/96	Pollard-Knight	435	7.4	6/2/92
	5	5,598,267	1/28/97	Sambles, et al.	356	369	2/3/95
	6	5,690,894	11/25/97	Pinkel, et al.	422	68.1	5/23/95
	7	5,738,825	4/14/98	Rudigier et al.	422	82.11	7/18/94
	8	5,804,453	9/8/98	Chen	436	518	2/9/96
	9	5,846,843	12/8/98	Simon	436	527	11/18/96
	10	5,925,878	7/20/99	Challener	250	225	8/20/97
	11	5,955,378	9/21/99	Challener	436	525	8/20/97
	12	5,986,762	11/16/99	Challener	356	375	6/15/98
	13	5,994,150	11/30/99	Challener, et al.	436	518	11/19/97
	14	6,035,089	3/7/00	Grann, et al.	385	129	6/11/97
	15	6,100,991	8/8/00	Challener	356	445	6/22/99
	16	6,146,593	11/14/00	Pinkel, et al.	422	68.1	7/24/97
	17	5,442,169	8/15/95	Kunz	250	227.21	4/22/92
	18	5,991,480	11/23/99	Kunz, et al.	385	37	8/7/96
u	19	6,320,991 B1	11/20/01	Challener, et al.	385	12	10/16/98
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22	US 2002/0018610 A1	2/14/02	Challener, et al.	385	12	9/28/01
23	5,691,846	11/25/97	Benson, Jr. et al.	359	530	6/7/95
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27	5,771,328	6/23/98	Wortman, et al.	385	146	4/3/97
28	5,268,782	12/7/93	Wenz, et al.	359	81	1/16/92
29	4,576,850	3/18/86	Martens	428	156	7/20/78
30	5,615,052	3/25/97	Doggett	359	811	11/1/94
31	5,792,411	8/11/98	Morris, et al.	264	400	9/28/95
32	5,732,173	3/24/98	Bylander, et al.	385	49	12/12/96
33	4,999,234	3/12/91	Cowan	428	156	6/10/88
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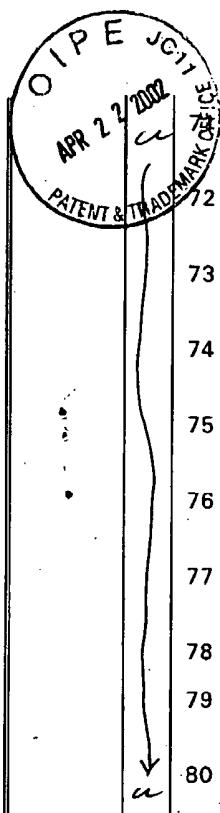
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37	0	1	1	2	7	2	1	7/4/87	EPO						
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39	9	0	0	8	3	1	8	7/26/90	PCT						
40	2	2	2	7	0	8	9	7/18/90	GB						
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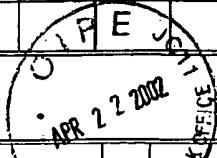


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C. Chin	9/27/02

✓EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

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u	52	0	1	0	4	6	9	7	1/18/01	PCT	/		



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66	Magnusson, et al., "Transmission Bandpass Guided-Mode Resonance Filters", Vol. 34, No. 35, <i>Applied Optics</i> , pp. 8106-8109 (1995)
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